

Board of Directors (in Public)

Item 6.1.3a

Subject: Audit Committee BAF Key Issues Report
Date of Meeting: 26th November 2024
Presented by: John Doyle, Audit Committee Chair
Meeting Held: 8th October 2024 (E-Meeting)

This report sets out the key assurances, risks and actions from the recent Committee meeting. Areas for escalation to the Board of Directors are included below as required.

Agenda Item	Lead Exec	Assurance Received	New/ Emerging Risks	Actions/Comments
5.1 Review of Assurance Committee – Mid Year Integrated Performance Committee People Committee Quality Committee	NEDs	Assurance provided that the Trust Assurance Committees are delivering against their respective Terms of References during the first half of the year.	-	-
5.2 Review losses and Special Payments	JT	Update provided on the losses and special payments recorded for the period 1 st June 2024 to 31 st August 2024. There were no losses in excess of £10,000. There have been no special payments in excess of £10,000. The bad debt provision is sufficient to cover 100% of non-NHS debt over 90 days, which currently stands at £891k	-	-

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5.3 Review Single Supplier Tender Waivers	JT	Assurance received on processes for managing tender waivers.	-	Procurement team will undertake a check and challenge process with end users to reduce the numbers of waivers and mitigate any risks to the organisation.
5.4 Compliance with Licence: review of quarterly checklist	BV	Assurance provided that there is compliance with key requirements of the provider licence.	-	This spans the majority of corporate objectives but primarily reflects the BAF risks and ongoing management of the recovery and restoration of services, along with the changes to the funding regime.
5.5 Regulatory Action Plans – As required	BV/JT	No regulatory action plans	-	-
5.6 Cyber Security Update	SB	<p>Partial assurance received in respect some aspect of operational IT delivery.</p> <p>Update provided to Audit Committee regarding cyber processes relating to August 2024.</p>	-	<p>Vulnerability risk assessment undertaken and immediate remediations completed.</p> <p>National Action plan action responses in progress, with update requested (RCA) to next Audit Committee.</p> <p>New Governance for iDigital Technical workstreams in development (Digital Security Committee).</p>
5.7 iDigital Service provision	SB	New report supplied. Well received update on iDigital Cyber and information governance to track progress of work underway. Report provides national benchmarking on Trust position on Cyber posture including regional and national positions.		Gaps in control managed along with plans to strengthen assurance.

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5.8 ICB/IUCS – System working	BV	Overview provided of the system governance and reporting in place.	Formal delegations and decision making will evolve further as the work of the Committee in Common and Joint Committees progress, and it will be important to revisit the reporting mechanisms to ensure these remain fit for purpose.	Further update to be provided to Audit Committee in 6 months.
6.1 Progress report on the delivery of plan	MIAA	Update provided in respect of the progress made against the internal audit plan for 2024/2025/	None	-
7.1 External audit update report	Ex. Auditor	Update provided on the progress in delivering the responsibilities as external auditors.	None	-